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Date: August 3, 2004


John J. Prizzi

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of:)	
GARY J. CONDON et al.)	Group Art Unit 3725
)	
Serial No. 10/806,855)	Confirmation No. 2489
)	Examiner To be assigned
Filed: March 23, 2004)	
)	
For: INVERTIBLE CENTER FEED DISK)	Attorney Docket K-2078A
FOR A VERTICAL SHAFT IMPACT)	
CRUSHER)	

August 3, 2004

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INFORMATION DISCLOSURE STATEMENT

Applicant(s) submit herewith patents, publications or other information, of which they are aware and that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose. The Information Disclosure Statement submitted herewith is being filed:

- ☒ under 37 CFR 1.97(b); within three (3) months of the filing of a national application; within three (3) months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing date of a first Office Action on the merits; or before the mailing of a first office action after the filing of a request for continued examination under § 1.114, whichever event occurs last.
- ☐ under 37 CFR 1.97(c); after three (3) months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either a Final Action under 37 CFR 1.113, or a Notice of Allowance under 37 CFR 1.311, whichever occurs first. Also submitted herewith is:

- ☐ a statement as specified in 37 CFR 1.97(e); or
- ☐ the fee of \$180.00 set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c) to be paid as provided below.
- ☐ under 37 CFR 1.97(d); after a Final Action under Section 1.113, or a Notice of Allowance under Section 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is a statement as specified in 37 CFR 1.97(e) and the fee of \$180.00 set forth in 37 CFR 1.17(p) to be paid as provided below.

STATEMENT UNDER 37 CFR 1.97(e)

- ☐ This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.
- ☐ Statement Under 37 CFR 1.97(e)(1) - Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the information disclosure statement.
- ☐ Statement Under 37 CFR 1.97(e)(2) - No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

PRIOR APPLICATIONS

- ☐ This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application(s):

Serial No. _____, filed on _____.

Serial No. _____, filed on _____.

Those references listed on the attached form and not submitted herewith were submitted to, and/or cited by, the Office in the prior application(s) identified above and therefore, are not required to be provided in this application.

FEES

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge any fees that may be required by this submission and to credit Deposit Account No. 502832 any overpayment. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



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Date: August 3, 2004

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PTO/SB/08A (08-00)

Please type a plus sign (+) inside this box → ☐

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/806,855
				Filing Date	March 23, 2004
				First Named Inventor	Gary J. Condon
				Group Art Unit	3725
				Examiner Name	to be assigned
Sheet	1	of	1	Attorney Docket Number	K-2078A

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ²			
		4,690,341		Hise et al.	09-01-1987	
		6,070,820		Young et al.	06-06-2000	
		5,921,484		Smith et al.	07-13-1999	
		5,806,774		Vis	09-15-1998	
		4,921,173		Bartley	05-01-1990	
		4,126,280		Burk	11-21-1978	
		5,029,761		Bechler	07-09-1991	
		3,652,023		Wood	03-28-1972	
		5,863,006		Thrasher	06-26-1999	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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